



Royal Metals Financial Marketing Organization (FMO/NMO/BD)
Application

1. *Business / Agency Name (as it appears on license): _____

2. Name of Business or Agency Officer: _____
3. *Residence Address:
Street: _____
City: _____ State: __ __ Zip: _____
4. Business Address:
Street: _____
City: _____ State: __ __ Zip: _____
5. Residence Phone: (____) ____ - _____
6. *Business Phone: (____) ____ - _____
7. *Fax #: (____) ____ - _____
8. Preferred Mailing: Residence or Business
9. E-Mail: _____
10. * Female Male
11. *Date of Birth: __ / __ / _____
12. Taxpayer Identification Number: ____ - _____
13. *Social Security Number: ____ - ____ - _____
14. Financial Marketing Organization (FMO): _____

15. Complete this item (#13) **only** if commission will be paid to a corporation or subagent arrangement:

- a. TIN/Corporation Subagent/LOA/Zero Comp Arrangement
- b. Provide Information if Business or Subagent Arrangement
 - i. Corporation Name: _____
 - ii. Tax I.D. Number: __ - _____
 - iii. Names on Corporate License: _____
 - iv. Corporation Address: _____
- 16. *Resident License Number: _____
- 17. *Background Information: Respond to all questions for you personally and on behalf of any organization over which you have exercised or currently exercise control. If you answer "yes" to any of the questions, please write details on a separate sheet and attach to this application.
 - a. Have you ever had your insurance license suspended or revoked? _____
 - b. Have you ever had your insurance or securities license suspended or revoked? _____
 - c. Has any claim ever been made against you, your surety company, or errors and omissions insurer or have you been refused surety bonding? _____
 - d. Have you ever been convicted of a crime, felony or misdemeanor including but not limited to crimes involving dishonesty, breach of trust, or a violation of any federal law?
 - e. Have you ever been involved in any litigation, including bankruptcy? _____
 - f. Are there any unsatisfied judgments/liens outstanding against you? _____

I. I hereby certify that all my answers to the above questions are true. The information is to the best of my knowledge an accurate Statement of Fact. I further understand that if any material included in this application is found to be incorrect or incomplete, it is grounds for termination at the sole discretion of Royal Metals Group LLC. By signing this application I hereby consent to receive facsimiles and E-mails to the above fax

number and E-mail account. Royal Metals Group shall be allowed to fax and email me in connection with the business.

II. I authorize Royal Metals Group and individuals to give, at any time, any information regarding my character, general reputation, personal traits, employment and other information they have, whether or not in their records, and release the Company and individuals from all liabilities for any damage whatsoever for issuing this information. I authorize the Company to use this information where its legal interest and/or obligations are involved. Further, I acknowledge that I have no objection to Royal Metals Group LLC investigating any of these facts and agree to indemnify and hold Royal Metals Group LLC harmless against any liability that may result in conducting such investigation. I understand that I have a right to make a written request within a reasonable period of time to receive additional detailed information about the nature and scope of this investigation.

III. Certification – Under penalties of perjury, I certify that:

- a. The Social Security Number or Taxpayer Identification Number shown on this for is my correct Taxpayer Identification Number and
- b. I am not subject to backup withholding because I am either exempt or I have not been notified by the Internal Revenue Service that I am subject to backup withholding as a result of a failure to report all interest or dividends or the IRS has notified me that I am no longer subject to backup withholding.
- c. I have read the “Independent Agent” Agreement and have retained a copy in my files.
- d. I have read, agree with, retained for my files, and have signed Royal Metals Group’s “Non-Compete Agreement”

Signature: _____ Date: __ / __ / __

Printed Name: _____